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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/787,267 | 02/25/2004 | Veronique A. Dartois | GC687-3-D1 |

RICHARD T. ITO
 GENENCOR INTERNATIONAL, INC.
 925 PAGE MILL ROAD
 PALO ALTO, CA 94304-1013



CONFIRMATION NO. 5404

FORMALITIES LETTER



OC000000012616842

Date Mailed: 05/13/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

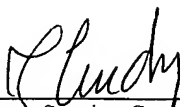
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to: Mail Stop Missing Parts
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A copy of this notice MUST be returned with the reply.



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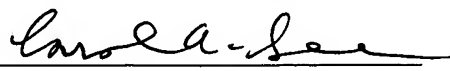
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Mail Stop Missing Parts, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Date: July 12, 2004

By:


Carol A. See

PATENT
Docket No. GC687-3D1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------|---|----------------------|
| In re Application of |) | |
| |) | |
| Veronique Dartois et al. |) | |
| |) | Group Art Unit: 1632 |
| Serial No. 10/787,267 |) | |
| |) | Examiner: Unassigned |
| Filed: February 25, 2004 |) | |
| |) | |
| For: 2, 5- DKG PERMEASES |) | |

TRANSMITTAL LETTER FOR NOTICE TO COMPLY WITH REQUIREMENTS FOR
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR
AMINO ACID SEQUENCE DISCLOSURES

MS MISSING PARTS
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Sir:

In complete response to the Notice to Comply dated May 13, 2004, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Petition for a three Month Extension of Time;
- ☐ Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee in duplicate;
- ☒ Second Preliminary Amendment

- ☒ Paper copy of Sequence Listing (140 pages)
- ☒ CD Rom Diskette copy of Sequence Listing
- ☒ Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence
Disclosures (copy of Notice to be returned with response);
- ☐ Form PTO-1533 (copy of Notice to be returned with response); and
- ☒ Other: Statement of Sameness
- ☐ Charge \$_____ to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC687-3D1). A duplicate of this paper is enclosed.

Respectfully submitted,



Christopher L. Stone
Registration No. 35,696

Date: July 12, 2004

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